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FAX # 512-306-0417DATE: 11/03/2005Number of Pages to Follow (including cover sheet): 5

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FROM: Amy J. Pattillo

Tel No: 512-402-9820

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Docket No. AUS920030933US1 Serial No. 10/728,164 Atty: AJPApplicant: BROWN ET AL

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Fees: Amendment \_\_\_\_\_ Notice of Appeal \_\_\_\_\_ Appeal Brief \_\_\_\_\_ Other \_\_\_\_\_

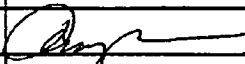
11/3/2005 12:06 PM FROM: 5123060417 TO: 15712738300 PAGE: 002 OF 005

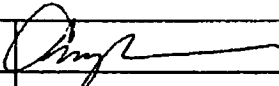
PTO/SB/21 (09-04)

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10728,164	<b>RECEIVED CENTRAL FAX CENTER NOV 03 2005</b>
	Filing Date	12/04/2003	
	First Named Inventor	Brown et al.	
	Art Unit		
	Examiner Name		
Total Number of Pages In This Submission	Attorney Docket Number	AUS920030933US1	

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
<b>Remarks</b> The Commissioner is authorized to charge payment of any necessary fees or credit any overpayments to deposit account 09-0447		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Firm Name	Amy J. Pattillo	
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Printed name	Amy J. Pattillo	
Date	11/3/05	Reg. No. 46,983

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Signature	
Typed or printed name	Amy J. Pattillo
Date	11/03/2005 11/3/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Brown et al.

Serial No: 10/728,164

Filed: 12/04/2003

Title: **RESPONDING TO RECIPIENT RATED  
WIRELESSLY BROADCAST ELECTRONIC  
WORKS**

Group Art Unit:

IBM CORPORATION  
C/O AMY PATTILLO  
P.O. BOX 161327  
AUSTIN, TEXAS 78716

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

10/728,164


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DOCKET NUMBER AUS920030933US1

The undersigned certifies that this IDS is being submitted before mailing of a first Office Action on the merits.

In the event a fee is required in connection with the enclosed Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,

 on 11/3/05

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10/728,164

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	<b>Complete if Known</b>	
	Application Number	10/728,164
	Filing Date	12/04/2003
	First Named Inventor	Brown et al.
	Examiner Name	
	Art Unit	
Attorney Docket No.		AUS920030933US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/JL/ AA	US 2005/0125302 A1	06/09/2005	Brown et al.	
/JL/ AB	US 2005/0125221 A1	06/09/2005	Brown et al.	

FOREIGN PATENT DOCUMENTS				
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Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner: /John Lee/

Date Considered: 04/13/2008

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